

# Dynamic Algorithm Transformations (DAT) : A Systematic Approach to Low-power, Reconfigurable Signal Processing

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*Abstract*— Presented in this paper are *dynamic algorithm transformations (DAT)* for designing low-power, reconfigurable signal processing systems. These transformations minimize energy dissipation while maintaining a specified level of mean squared error (*MSE*) or signal-to-noise ratio (*SNR*). This is achieved by modeling the non-stationarities in the input as temporal/spatial transitions between states in the input state-space. The reconfigurable hardware fabric is characterized by its configuration state-space. The configurable parameters are taken to be the filter taps, coefficient and data precisions and the supply voltage  $V_{dd}$ . An energy-optimal reconfiguration strategy is derived as a mapping from the input to the configuration state-space. In this strategy, taps are powered down starting with the tap with the smallest value of  $[w_k^2/\mathcal{E}_m(w_k)]$  (where  $w_k$  and  $\mathcal{E}_m(w_k)$  are respectively, the coefficient and the energy dissipation of the  $k^{th}$  tap). Optimal values for precisions and supply voltage  $V_{dd}$  are subsequently computed from the round-off error and the critical path delay requirements, respectively. The DAT-based adaptive filter is employed as a near-end crosstalk (NEXT) canceller in 155.52 Mb/s ATM-LAN transceiver over category 3 wiring. Simulation results indicate that the energy savings range from -2% to 87% as the cable length varies from 110m to 40m, respectively, with an average savings of 69%. An average savings of 62% is achieved for the case where the supply voltage  $V_{dd}$  is kept fixed.

*Keywords*— Algorithm transformations, low-power, signal processing, reconfigurable computing

## I. INTRODUCTION

The recent growth of portable wireless networked communication systems has made it essential that maximum functionality be provided for prolonged periods under severe constraints on battery weight and life. This fact has made low-power digital signal processing (DSP) an important research area. Energy minimization techniques have been proposed at all levels of the design hierarchy beginning with algorithms and architectures and ending with circuits and technological innovations. Existing techniques include those at the algorithmic level (such as strength reduction [1]-[3] and variable-length vector quantizer (VQ) [4]), architectural level (such as pipelining [5]-[6] and parallel processing [6]), logic (logic minimization [7]-[8] and pre-computation [9]), circuit (reduced voltage swing [10], adiabatic logic [11]) and technological level [12]. *Algorithm*

*transformation* techniques [13] such as *look-ahead* [6], *relaxed look-ahead* [14], *algebraic transformations* [15] and *retiming* [16] have been employed in high-speed and more recently low-power digital signal processing system design. We refer to these algorithm transformations as *static algorithm transformations* (SAT), because these are applied during the algorithm design phase assuming a worst-case scenario and their implementation is time-invariant.

In recent years, reconfigurable signal processing has emerged as an alternative approach to low-power DSP. In [17], reconfigurability is employed to map a wide class of signal processing algorithms to an appropriate architectural template. Related work includes approximate signal processing [18]-[19] where just the right amount of computational resources, needed at a specific instant/period to meet the algorithm performance requirements, is allocated. Compiler-based run-time software optimization techniques are explored in [20]-[21]. Field programmable gate array (FPGA) based devices and their reconfiguration strategies are discussed in [22]-[24]. Hybrid architectures based on FPGAs and general-purpose DSPs is the topic of research in [25]-[26].

In this paper, we present *dynamic algorithm transformations* (DAT) as a systematic approach to low-power, reconfigurable DSP. The reconfiguration strategies are derived via DAT which optimize energy dissipation while maintaining a specified level of algorithmic performance measure such as mean squared error (*MSE*) or signal-to-noise ratio (*SNR*). The DAT techniques are based upon the principle that the input is usually non-stationary and hence, it is better (from an energy perspective) to adapt the algorithm and architecture to the input. In contrast, present day systems (referred to as *worst-case designs*) seek out and design for the worst-case scenario. For example, a broadband modem is typically designed for the longest cable length, the maximum cable temperature and the worst-case near-end crosstalk interferer. The worst-case design requires high complexity and hence high energy consumption that remains the same even if the cable length in practice is small. A DAT-based modem will exploit this *spatial variability* between one location to another to reconfigure itself to save energy. Similarly, wireless channels exhibit extensive *temporal variabilities* due to fading that can be exploited by a DAT-based receiver.

The main contribution of this paper is to formalize the design of reconfigurable DSP systems. This is done by modeling: 1.) the temporal/spatial variabilities in the

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input as state transitions in an *input state-space* and 2.) reconfiguration of the hardware fabric as transitions in a *configuration state-space*. Given an input state, an energy-optimal configuration state is derived systematically as a solution to an optimization problem, which has energy as the objective function and a constraint on the *SNR*. The proposed design approach is independent of the hardware-platform. From an implementation perspective, a reconfigurable DSP system has the signal processing algorithm (**SPA**) implemented in a reconfigurable hardware (see Fig. 1) (such as FPGA, certain DSPs or ASICs), while the input state and state transitions are monitored by a signal monitoring algorithm (**SMA**) block (or a controller).

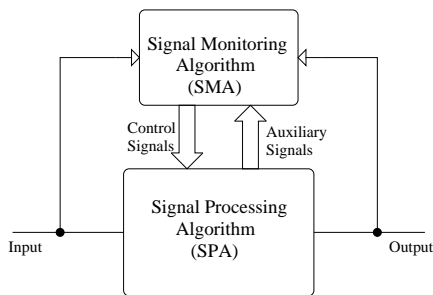


Fig. 1. A reconfigurable DSP system.

In the past, the DAT-based approach has been successfully applied to low-energy equalizers for 51.84 Mb/s very high-speed digital subscriber loop (VDSL) [27] and low-energy finite-impulse response (FIR) filters. In this paper, we apply DAT to a near-end crosstalk (NEXT) canceller for 155.52 Mb/s ATM-LAN [28]. Simulation results indicate that the energy savings range from -2% to 87% as the cable length varies from 110 meters to 40 meters, respectively, with an average energy savings of 69% as compared to the worst-case design corresponding to a cable length of 110 meters.

The rest of the paper is organized as follows. Section II provides preliminaries regarding adaptive filters and the variable supply voltage scheme. In section III, we describe reconfigurable datapath and their energy dissipation models. The main result is described in section IV, where we present dynamic algorithm transformations (DAT) for adaptive filters. Finally, in section V, we employ the DAT-based adaptive filter as a NEXT canceller in 155.52 Mb/s ATM-LAN over unshielded twisted pair category 3 copper wiring and present simulation results.

## II. PRELIMINARIES

In this section, we describe preliminaries regarding adaptive filters [29] and the variable supply voltage scheme [19] which would be necessary for the development of DAT.

### A. Adaptive Filtering

Adaptive filters are based upon a stochastic model of the input signal  $x(n)$ , where  $n$  is the time instant. The output of a fixed-coefficient  $N$ -tap filter processing the input  $x(n)$

is given by:

$$y(n) = \sum_{k=1}^N w_k x(n-k+1), \quad (2.1)$$

where  $w_1, w_2, \dots, w_N$  are the filter coefficients. The filter coefficients  $w_k$  can be chosen to minimize the error  $e(n)$  given by

$$e(n) = d(n) - y(n), \quad (2.2)$$

where  $d(n)$  is the desired signal. Typically, it is the mean squared value of this error (*MSE*) that is minimized, where the *MSE*  $\mathcal{J}(n)$  is defined as,

$$\mathcal{J}(n) = E[|e(n)|^2], \quad (2.3)$$

where  $E[\cdot]$  is the expectation operator. If the error  $e(n)$  is assumed to be an ergodic process, then the sample average of  $|e(n)|^2$  can be approximated by its time average which is given by,

$$\mathcal{J}(n) \approx \frac{1}{L} \sum_{j=0}^{L-1} |e(n-j)|^2, \quad (2.4)$$

where  $L$  is the window over which the squared error is averaged. It can be shown [29] that if the input signal is a wide-sense stationary (WSS) white process (samples of  $x(n)$  are uncorrelated), then the minimum *MSE*  $\mathcal{J}_{min}$  is given by,

$$\mathcal{J}_{min} = \sigma_d^2 - \sum_{k=1}^N |w_{k,opt}|^2 \sigma_x^2, \quad (2.5)$$

where  $\sigma_d^2 = E[d^2(n)]$  is the desired signal power,  $\sigma_x^2 = E[x^2(n)]$  is the input signal power, and  $w_{k,opt}$  are the optimum coefficients. For stationary inputs, the optimum coefficients can be computed [29] by solving a system of linear equations. However, if the input signal is non-stationary, then the coefficients  $w_k$  need to be updated via an adaptive algorithm such as the *least mean square* (LMS) algorithm [30], defined below:

$$y(n) = \sum_{k=1}^N w_k(n-1)x(n-k+1) \quad (2.6)$$

$$w_k(n) = w_k(n-1) + \mu e^*(n)x(n-k+1), \quad (2.7)$$

where  $e^*(n)$  is the complex conjugate of  $e(n)$  defined in (2.2),  $d(n)$  is the desired signal,  $y(n)$  is the filter output, and  $\mu$  is the step-size. If the step-size  $\mu$  is sufficiently small then the coefficients  $w_k(n)$  will approach  $w_{k,opt}$  as  $n$  approaches infinity. In that case,  $\mathcal{J}(n)$  in (2.4) will approach the optimum value  $\mathcal{J}_{min}$  in (2.5). The LMS algorithm is commonly employed in numerous signal processing and communications applications due to its inherent simplicity.

A direct implementation of the LMS algorithm is shown in Fig. 2, where each tap consists of two multipliers and two adders. The filter (**F**) block implements (2.6) and the weight-update (**WUD**) block implements (2.7). The critical path delay  $T_{cp}$  for the architecture in Fig. 2 is given by,

$$T_{cp} = 2T_m + (N+1)T_{sum} + B_{ADD}T_{carry}, \quad (2.8)$$

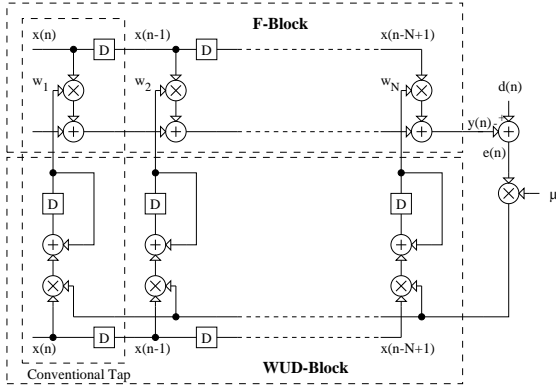


Fig. 2. An LMS adaptive filter architecture.

where  $T_m$  is the propagation delay for the multiplier,  $T_{sum}$  and  $T_{carry}$  are the propagation delays for the sum and carry outputs, respectively of a 1-bit full adder, and  $B_{ADD}$  is the precision of the adder in the **F**-block. Note that, we assume a ripple-carry adder architecture and that  $\mu$  is a power-of-two. Typically, we choose  $T_{cp} \leq T_s$ , so that the sample rate requirements are met. In many applications, the **WUD** block is switched off (to conserve energy) after the optimum coefficients have been obtained, i.e., after convergence. In that case, the critical path delay  $T_{cp}$  is due to the adders and multipliers in the **F** block and is given by,

$$T_{cp} = T_m + NT_{sum} + B_{ADD}T_{carry}. \quad (2.9)$$

Note that if some of the taps in the **F** block are powered down then  $T_{cp}$  in (2.9) will be smaller. Further energy savings can be obtained by lowering the supply voltage via schemes such as in [19],[31]-[32] as described next.

### B. Variable Supply Voltage for Low-power

If  $T_s$  is the sample period and  $T_{cp,max}$  is the critical path delay when all the taps are powered up, then we choose,

$$T_{cp,max} = T_s. \quad (2.10)$$

If some of the taps are powered down, then  $T_{cp} < T_{cp,max}$ . The slack in critical path delay can be exploited to obtain energy savings. The propagation delay for CMOS circuits has an inverse relationship with the supply voltage, while the power dissipation has a quadratic relationship with the supply voltage. Therefore, the reduced critical path delay due to reconfiguration can be exploited to reduce the supply voltage in order to save energy. In fact, the slack is equivalent to the processing rate  $r$  [19] defined as,

$$r = \frac{T_{cp}}{T_{cp,max}} = \frac{T_{cp}}{T_s}, \quad (2.11)$$

where  $0 \leq r \leq 1$ . Thus,  $r = 1$  when  $T_{cp} = T_{cp,max} = T_s$  indicating that the processing rate of the architecture is maximum. It can be shown [19] that the supply voltage can be lowered to  $V_{dd}(r) \leq V_{dd,max}$ , where  $V_{dd}(r)$  is given by,

$$V_{dd}(r) = V_t + \frac{r}{2}V_o + \sqrt{\frac{r^2}{4}V_o^2 + rV_tV_o}, \quad (2.12)$$

and  $V_o = (V_{dd,max} - V_t)^2 / V_{dd,max}$ ,  $V_t$  is the threshold voltage and  $V_{dd,max} = V_{dd}(1)$ . In [19], the value of  $r$  is obtained experimentally by buffering the input samples in a first-in first-out (FIFO) buffer and monitoring the number of unprocessed inputs in the buffer. Then, a specific supply voltage  $V_{dd}$  value is chosen according to (2.12). In contrast to [19], we determine  $r$  algorithmically from (2.11), where the critical path delay  $T_{cp}$  is varied (by powering down the specific taps) according to the requirements imposed by the input environment. It should be mentioned that (2.12) is employed to obtain a coarse  $V_{dd}$  value. Fine adjustments [31] to track the process and the temperature variations can be done subsequently. The reader is referred to [31]-[32] for details and additional references.

Thus, energy savings are obtained by powering down taps, reducing precisions and reducing the supply voltage  $V_{dd}(r)$ . In order to enable these energy savings, we need an underlying reconfigurable hardware fabric and the energy dissipation models for the hardware. These are described next.

## III. RECONFIGURABLE DATAPATH: THE SPA BLOCK

In this section, we present reconfigurable hardware and energy models for arithmetic units and filters. The reconfigurable hardware architecture and the energy models are formulated so that energy-optimum reconfiguration strategies (described in section IV) can be computed in real-time. We will focus on energy models for the multipliers as these consume a large percentage of the total energy. It is well-known that energy dissipation is a function of the input statistics in CMOS circuits. For a direct-form FIR filter, the input  $x(n - k + 1)$  into the  $k^{th}$ -tap multiplier is a delayed copy of  $x(n)$ . Thus, the statistics of the data input are the same for all taps. Therefore, we present an energy dissipation model of a multiplier, which is a function of the coefficient input only.

### A. Multiplier Energy Models

We will assume that a  $B_x$ -bit signal  $x(n)$  is being multiplied by a  $B_w$ -bit constant coefficient  $w$ . The constant coefficient assumption is valid for adaptive filters if we assume that the **WUD**-block is powered-down after convergence. The multiplier energy model is based on two estimates of the transition activity in an array multiplier [33]. The first estimate is given by number of ones in a binary representation of the coefficient  $w$ . The justification for this estimate is that if the  $j^{th}$  bit in the coefficient is zero, then the transition activity in the  $j^{th}$  row of the array multiplier is reduced. In [34], a similar expression was employed in estimating the energy dissipation of a shift-add multiplier.

Let  $w = -w^{(0)} + \sum_{j=1}^{B_w-1} w^{(j)}2^{-j}$  be the two's complement representation of the coefficient  $w$ . Then, the number of ones  $\mathcal{N}_1(w)$  is given by,

$$\mathcal{N}_1(w) = \sum_{j=0}^{B_w-1} w^{(j)}. \quad (3.1)$$

A linear model based on  $\mathcal{N}_1(w)$  was regressed against *real-delay* energy consumption values obtained via the gate-level simulation tool MED [35] with typical delay values for a  $0.18 \mu\text{m}$ ,  $2.5\text{V}$  CMOS technology. It was found that  $\mathcal{N}_1(w)$  underestimates transition activity in the array multiplier by an error of about 14%. This is due to the fact that in this model, the transition activity in a row due to the signal propagation from the previous row is ignored.

The second estimate is based on the number of zeros in the least significant bit (LSB) positions in the two's complement representation of  $w$ . For example,  $w = [0100]$  has two zeros at the LSB positions. It can be shown that in an array multiplier, the rows corresponding to these zeros have very little transition activity. Therefore, the energy model is given by the difference of the coefficient precision and the number of LSB zeros. This number  $\mathcal{N}_2(w)$  is computed as,

$$\mathcal{N}_2(w) = B_w - \sum_{j=0}^{B_w-1} \prod_{i=j}^{B_w-1} (1 - w^{(i)}), \quad (3.2)$$

where  $(1 - w^{(i)})$  is the bit-wise complement of  $w^{(i)}$ . Regression of a linear model based on  $\mathcal{N}_2(w)$  with real-delay energy values based on MED [35] indicated that (3.2) overestimates the multiplier energy by 75%.

As the two estimates (3.1) and (3.2) underestimate and overestimate the transition activity, respectively, an accurate energy model can be obtained by taking their weighted sum as follows,

$$\mathcal{N}(w) = 0.9\mathcal{N}_1(w) + 0.1\mathcal{N}_2(w), \quad \mathcal{E}_m(w) = \mathcal{E}_{max} \frac{\mathcal{N}(w)}{B_w}, \quad (3.3)$$

where  $\mathcal{N}_1(w)$  and  $\mathcal{N}_2(w)$  are obtained from (3.1) and (3.2), respectively. In Fig. 3(a), we show regression of the model in (3.3) against the real-delay energy consumption values. It was found that the model in (3.3) is accurate with less than 9% error as compared to a real-delay gate-level simulation.

An architecture-level implementation of the hardware that evaluates the energy model in (3.3) is shown in Fig. 3(b), where  $w^{(i)}$  is the  $i^{\text{th}}$  bit of coefficient  $w$ . If such energy models for the **SPA** hardware are not available, then a look-up table (ROM) can be used for storing all possible energy values. Note that, the models based on closed form expressions such as (3.3) are useful in determining the energy-optimum configurations but are not used to estimate the energy savings.

### B. Reconfigurable Adaptive Filters

In Fig. 4, we present a reconfigurable architecture for an adaptive filter, where we have modified the architecture in Fig. 2 by introducing control signals  $\alpha_k$  and  $\beta_k$  which power up/down the  $k^{\text{th}}$  tap. For example, setting  $\alpha_k = 0$  forces a zero at the input to the **F**-block multiplier of the  $k^{\text{th}}$  tap and bypasses the **F**-block adder. Additional energy savings are possible by zeroing out or freezing  $x(n-k)$ . This is not done because it was found via a real-delay gate level simulation that the energy dissipation in such a case is less

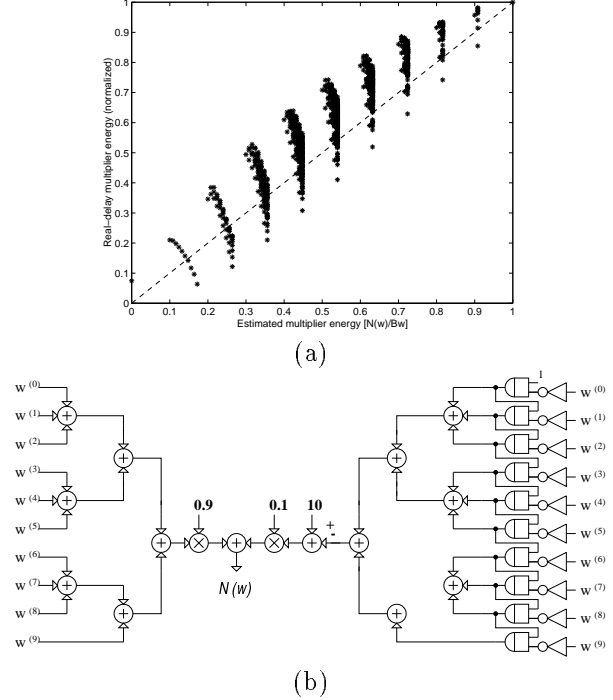


Fig. 3. Estimate of multiplier energy based on (3.3) : (a) model accuracy and (b) hardware implementation for real-time evaluation.

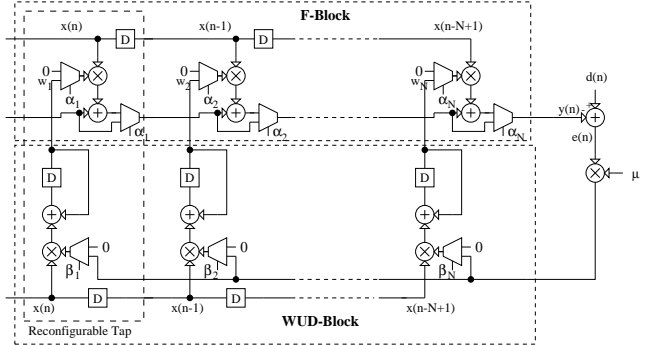


Fig. 4. A reconfigurable adaptive filter architecture.

than 5% of the average multiplier energy. In addition, it is assumed that reconfigurations in Fig. 4 occur once every  $L$  samples, where  $L$  is large (for example,  $L = 8192$  for the NEXT-canceller application). Therefore, energy dissipation due to the transition in the multiplier coefficient input from  $w_k$  to 0 is ignored. For the applications, where fast reconfigurations are required, it might be better to instead hold the inputs to the previous value and force the output to 0. This can be done by replacing multiplexers at the multiplier inputs by pass transistors. Such an architecture will also be useful for folded architectures (for example, in fractionally-spaced equalizers). If needed, additional multiplexers can be employed to power down the adder in **F**-block. Thus, the  $k^{\text{th}}$  tap in the **F**-block can be powered down by setting  $\alpha_k = 0$ . Similarly,  $\beta_k = 0$  powers down the multiplier in the  $k^{\text{th}}$  tap of the **WUD**-block.

Additional energy savings can be achieved by changing the precisions of the input signal and the coefficients.

In [36], the coefficient precision was varied by providing a gain at the output of the filter. By changing the gain, the adaptive filter can be made to converge to new coefficients with smaller precision. In this paper, we adjust the precision by forcing the least significant bits (LSBs) to value '0'. The advantage of this scheme is its quick convergence and simplicity of implementation. Forcing the LSBs to '0' does not vary the coefficients by a large amount. Thus, the adaptive filter tracks the new optimum coefficients (at optimum precision) very quickly. In section IV, we will prove that the coefficient precision is a logarithmic function of number of powered-up taps, and the precision requirement do not change by more than 2 bits. Therefore, the reconfiguration of precision can be implemented with a very small hardware overhead consisting of two AND gates for each tap.

The energy dissipation of an  $N$ -tap reconfigurable adaptive filter employed in the **SPA** block is given by

$$\mathcal{E}_{SPA} = \mathcal{E}_{\mathbf{F}} + \mathcal{E}_{\mathbf{WUD}}, \quad (3.4)$$

where  $\mathcal{E}_{\mathbf{F}}$  and  $\mathcal{E}_{\mathbf{WUD}}$  are the energy dissipations for the **F** and **WUD** blocks, respectively. It can be seen that  $\mathcal{E}_{\mathbf{F}}$  is given by,

$$\mathcal{E}_{\mathbf{F}} = \sum_{k=1}^N \alpha_k \mathcal{E}_m(w_k), \quad (3.5)$$

where  $\mathcal{E}_m(w_k)$  is the energy dissipation of the multiplier in **F**-block and  $k^{\text{th}}$  tap. Similarly, the energy dissipation of the **WUD** block is given by,

$$\mathcal{E}_{\mathbf{WUD}} = \sum_{k=1}^N \beta_k \mathcal{E}_{m,wud}, \quad (3.6)$$

where  $\mathcal{E}_{m,wud}$  is the energy dissipation of a weight-update block multiplier. It is worth mentioning that two inputs to all the multipliers in the **WUD**-block (see Fig. 2) have the same statistics and therefore, are assumed to consume the same energy. In case of sign-LMS algorithm and powers-of-two LMS algorithm,  $\mathcal{E}_{m,wud}$  can be replaced by the energy consumption of a shifter. As there is no need to update a tap if it is powered down ( $\alpha_k = 0$ ) or if the filter has converged, hence in these two cases we will force  $\beta_k = 0$ . Therefore, after convergence, the critical path delay  $T_{cp}$  in the **F**-block is given by,

$$T_{cp} = T_m + \sum_{k=1}^N \alpha_k T_{sum} + B_{ADD} T_{carry} + N T_{mux}, \quad (3.7)$$

where  $T_{mux}$  is the computation delay of a two-to-one multiplexer ( $2 \times 1$  mux). The critical path delay in (3.7) will be maximum when all the taps in adaptive filter are powered up. The maximum critical path delay  $T_{cp,max}$  is obtained by substituting  $\alpha_k = 1$  ( $k = 1, 2, \dots, N$ ) in (3.7) and is given by,

$$T_{cp,max} = T_m + N(T_{sum} + T_{mux}) + B_{ADD} T_{carry}. \quad (3.8)$$

However, when some of the taps in the **F**-block are powered down (i.e.  $\alpha_k = 0$  for some  $k$ ) then  $T_{cp}$  in (3.7) will

be smaller than  $T_{cp,max}$  in (3.8). The reduced critical path delay for these cases can be exploited to save energy further by lowering the supply voltage by an appropriate amount as described in section II(B). It is worth noting that the effectiveness of critical path change is dependent on the relative values of  $T_{sum}$  to other terms in (3.8).

### C. Complex Adaptive Filters

In this subsection, we consider complex adaptive filters with complex-valued coefficients  $w_k = c_k + jd_k$  (where  $c_k$  and  $d_k$  are real and imaginary parts of  $w_k$ ) processing the complex-valued data  $x(n) = x_r(n) + jx_i(n)$  (where  $x_r(n)$  and  $x_i(n)$  are real and imaginary parts of  $x(n)$ ). These filters are commonly employed in many communications systems including the near-end crosstalk (NEXT) canceller to be described in section V. In particular, we will employ the low-power strength-reduced (**SR**) [3] architecture shown in Fig. 5. This architecture can be derived by viewing complex filtering as polynomial multiplication and then applying the strength-reduction transformation [15] at the algorithmic level. The reconfigurable **SR** adaptive filter architecture can be derived from Fig. 4 and Fig. 5. The energy dissipation of the **SR** adaptive filter is given by,

$$\begin{aligned} \mathcal{E}_{SR} = & \sum_{k=1}^N \alpha_k [\mathcal{E}_m(c_k + d_k) + \mathcal{E}_m(d_k) + \mathcal{E}_m(c_k - d_k)] \\ & + 3 \sum_{k=1}^N \beta_k \mathcal{E}_{m,wud}, \end{aligned} \quad (3.9)$$

where  $\alpha_k = 0$  and  $\beta_k = 0$  indicates that the multipliers in the  $k^{\text{th}}$  tap of the **F** block and **WUD** block are powered down.

In the next section, we develop energy-optimum reconfiguration strategies for the reconfigurable adaptive filters presented in this section.

## IV. DYNAMIC ALGORITHM TRANSFORMATIONS (DAT)

In this section, we present DAT for low-power, reconfigurable signal processing specifically for adaptive filters. The motivation for DAT is that the worst-case scenario is usually not the nominal scenario. Hence, significant energy-efficiencies can be gained by having a signal monitoring algorithm or the **SMA** block (see Fig. 1) that monitors the input state and then reconfigures the **SPA** block. This naturally leads to the definition of the input and configuration state-spaces in sections IV-A and IV-B, respectively. These definitions are then employed in formulating an energy optimization problem in section IV-C. The solution to this problem is derived in section IV-D, which results in an energy-optimal reconfiguration strategy. In section IV-E, we compute the energy savings due to DAT. The DAT technique is employed in a system identification example in section IV-F.

### A. Input State-Space

We model the non-stationarities in the input via the definition of an *input state-space* as follows:

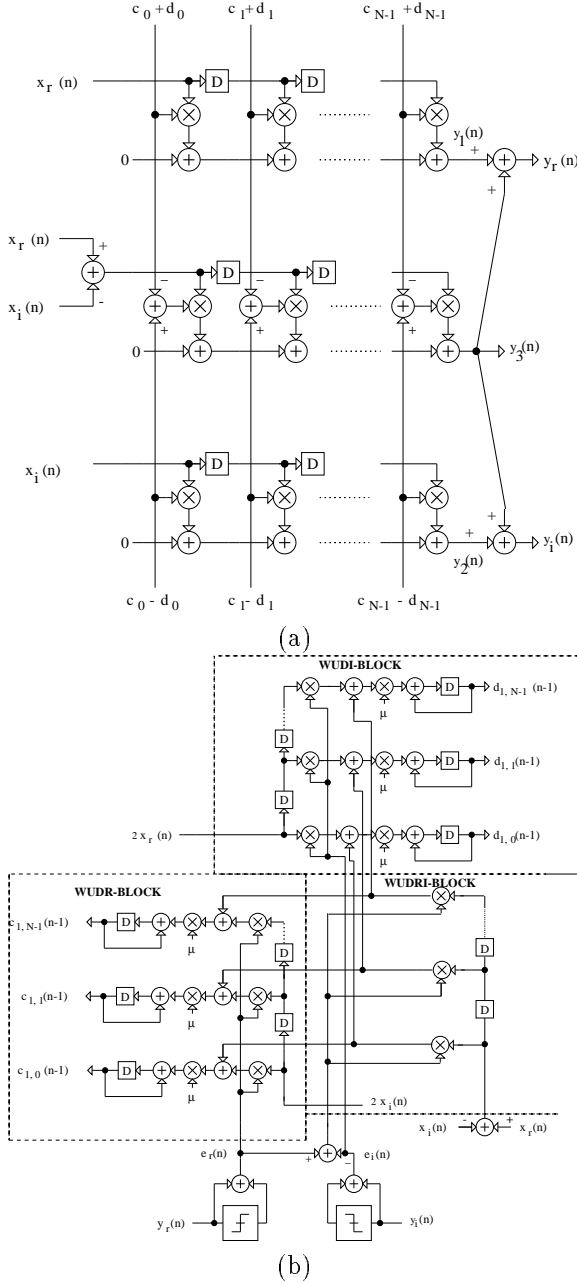


Fig. 5. Complex adaptive filter : (a) **F**-block of **SR** and (b) **WUD**-block of **SR**.

**Definition 1 :** *The input state-space  $\mathcal{S} \triangleq \{\mathbf{s}_1, \mathbf{s}_2, \dots, \mathbf{s}_{N_c}\}$ , where  $\mathbf{s}_i$  is a vector of input-dependent parameters. The input state at time instant  $n$ ,  $\mathbf{s}(n)$ , will be in state  $\mathbf{s}_i$  (i.e.,  $\mathbf{s}(n) = \mathbf{s}_i$ ) with a probability  $p(\mathbf{s}_i)$ .*

As an example, consider the case of a 155.52 Mb/s ATM-LAN networked office building with 100 workstations, out of which 80 workstations are approximately 70m from the closet and the remaining are distributed equally between 40m and 100m. In this case, the state-space  $\mathcal{S}$  will have three elements  $\mathbf{s}_1$ ,  $\mathbf{s}_2$  and  $\mathbf{s}_3$  and the probability of occurrence,  $p(\mathbf{s}_1) = 0.1$ ,  $p(\mathbf{s}_2) = 0.8$  and  $p(\mathbf{s}_3) = 0.1$ . The elements of vector  $\mathbf{s}_i$  would be the parameters of interest in the design of an ATM-LAN receiver. For example, one parameter of interest is the peak-to-average ratio (PAR),

which is defined as the ratio of the peak and the root-mean squared values of the input signal. Thus, when the input state  $\mathbf{s}(n) = \mathbf{s}_i$ , the corresponding PAR (denoted as  $PAR_i$ ) is useful in determining the input precision  $B_{x,opt}$ . Thus,  $\mathbf{s}_i = [\sigma_{x_i}^2, PAR_i, SNR_{in_i}]$ , where  $\sigma_{x_i}^2$ ,  $PAR_i$  and  $SNR_{in_i}$  are the input signal energy, the input PAR and the input signal-to-noise ratio, respectively. Hence, the state-space components are given by:

$$\mathbf{s}_1 = [1.9dB, 10.3dB, 12.1dB], \mathbf{s}_2 = [3.5dB, 9.9dB, 14.9dB], \\ \mathbf{s}_3 = [7.1dB, 9.1dB, 18.2dB].$$

In section V, we will employ  $\mathbf{s}_i = [\sigma_{x_i}^2]$  to model different lengths of the cable for 155.52 Mb/s ATM-LAN. Usually,  $\mathbf{s}(n)$  is monitored over a window of  $L$  samples.

### B. Configuration State-Space

A reconfigurable hardware fabric is characterized by its *configuration state-space* as defined below:

**Definition 2 :** *The configuration state-space  $\mathcal{C} \triangleq \{\mathbf{c}_1, \mathbf{c}_2, \dots, \mathbf{c}_{N_c}\}$  where  $\mathbf{c}_i$  is a vector of reconfiguration control signals. The hardware fabric will be in configuration  $\mathbf{c}(n)$  at a given time instant  $n$  where  $\mathbf{c}(n) \in \mathcal{C}$ .*

For an  $N$ -tap reconfigurable adaptive filter (see Fig. 4), the configuration vector can be defined as:

$$\mathbf{c} = [\underline{\alpha}, \underline{\beta}, \mathbf{B}_w, \mathbf{B}_x, \mathbf{B}_V]$$

where  $\underline{\alpha}$  and  $\underline{\beta}$  are  $N$ -bit control words containing *specific values* of the control signals  $\alpha_k$  and  $\beta_k$ , respectively, in Fig. 4. Similarly,  $\mathbf{B}_w$  and  $\mathbf{B}_x$  are control words indicating the coefficient precision and data precisions, respectively. Finally,  $\mathbf{B}_V$  is the control word indicating the value of the supply voltage  $V_{dd}$ . For example, if  $N = 8$ ,  $B_w = 8$  bits,  $B_x = 4$  bits and  $V_{dd}$  can have values from 1.5V to 2.5V with a step of 0.5V, then  $\underline{\alpha}$ ,  $\underline{\beta}$ ,  $\mathbf{B}_w$ ,  $\mathbf{B}_x$ , and  $\mathbf{B}_V$ , are control words with 8, 8, 3, 2 and 2 bits, respectively. Thus,  $\mathbf{c}$  is a control word with 23 bits and the the number of configurations  $N_c = 2^{23}$ .

The above example indicates how easily the number of configurations explodes with increase in reconfigurable parameters. We are interested in determining the energy-optimum configuration  $\mathbf{c}_{opt}(\mathbf{s}_i)$  for every input state  $\mathbf{s}_i$  from a total of  $N_c$  possible configurations while satisfying a mean squared error (MSE) constraint. Due to the large number of possible configurations  $N_c$ , it becomes important to develop a systematic approach to obtaining  $\mathbf{c}_{opt}$ . In order to develop such an approach, we formally define the energy-optimum configuration  $\mathbf{c}_{opt}(\mathbf{s}_i)$  as follows:

**Definition 3 :** *The energy-optimum configuration  $\mathbf{c}_{opt}(\mathbf{s}_i) \in \mathcal{C}$  for a given input state  $\mathbf{s}_i \in \mathcal{S}$  is defined as:*

$$\mathbf{c}_{opt}(\mathbf{s}_i) = \arg \min_{\mathbf{c} \in \mathcal{C}} \mathcal{E}_{SPA}(\mathbf{c}), \\ s.t. \mathcal{J}_{SPA}(\mathbf{c}, \mathbf{s}_i) \leq \mathcal{J}_o, \quad (4.1)$$

where  $\mathcal{E}_{SPA}(\mathbf{c})$  is energy consumed by the **SPA** block in configuration  $\mathbf{c}$ ,  $\mathcal{J}_o$  is the specified MSE and  $\mathcal{J}_{SPA}(\mathbf{c}, \mathbf{s}_i)$  is the MSE achieved by the **SPA** block when the input is in state  $\mathbf{s}_i$  and the **SPA** block is in configuration  $\mathbf{c}$ .

If the **SPA** block consists of the adaptive filter in Fig. 4, then  $\mathcal{E}_{SPA}(\mathbf{c})$  is given by (3.4) (for real adaptive filter) or (3.9) (for complex adaptive filter) and  $\mathcal{J}_{SPA}(\mathbf{c}, \mathbf{s}_i)$  is given by,

$$\mathcal{J}_{SPA}(\mathbf{c}, \mathbf{s}_i) = \sigma_d^2 - \sum_{k=1}^N \alpha_k |w_k|^2 \sigma_x^2, \quad (4.2)$$

where  $\sigma_d^2$ ,  $\sigma_x^2$ , and  $|w_k|^2$  are as defined in section II-A. Thus, in (4.1), the objective function is tied to the hardware fabric and the constraint is dependent upon the application at hand. Next, we formulate the energy optimization problem whose solution will result in a energy-optimum reconfiguration strategy.

### C. Energy Optimization Problem

In its most general form, the energy optimization problem can be written as:

$$\begin{aligned} \min_{\mathbf{c} \in \mathcal{C}} \quad & \sum_{i=1}^{N_s} \mathcal{E}_{SPA}(\mathbf{c}) p(\mathbf{s}_i) \\ \text{s.t.} \quad & \mathcal{J}_{SPA}(\mathbf{c}, \mathbf{s}_i) \leq \mathcal{J}_o, \forall \mathbf{s}_i \in \mathcal{S}. \end{aligned} \quad (4.3)$$

Note that the optimization problem in (4.3) is independent of the hardware platform i.e., one could potentially have a platform based on a field programmable gate array (FPGA), programmable digital signal processor (software DAT), or a multiprocessor [17]. This is because the hardware-specific parameters can be incorporated via the definition of  $\mathcal{E}_{SPA}(\mathbf{c})$  and the configuration state-space  $\mathcal{C}$ . In this paper, we have focused on a dedicated implementation of a reconfigurable DSP. We simplify the problem in (4.3) by solving it independently for each input state  $\mathbf{s}_i$ . The energy optimization problem for the reconfigurable adaptive filter in Fig. 4 is given by,

$$\begin{aligned} \min_{\alpha_k \in \{0,1\}} \quad & \sum_{k=1}^N \alpha_k \mathcal{E}_m(w_k), \\ \text{s.t.} \quad & \sigma_d^2 - \sum_{k=1}^N \alpha_k |w_k|^2 \sigma_x^2 \leq \mathcal{J}_o. \end{aligned} \quad (4.4)$$

Note that we do not include the **WUD**-block reconfiguration signals  $\beta_k$ s in the optimization problem because we assume that  $\beta_k = 0$  after the adaptive filter has converged, i.e., the **WUD**-block is powered down. Next, we determine the solution to (4.4) via *Lagrange Multiplier Method* [37] to obtain a practical reconfiguration strategy.

### D. The Energy-Optimum Reconfiguration Strategy

A block-level diagram of a DAT-based adaptive filter is shown in Fig. 6. The signal processing algorithm (**SPA**) block has the architecture shown in Fig. 4 and the signal monitoring algorithm (**SMA**) block computes the energy-optimum reconfiguration strategy (to be derived in this subsection) for the **SPA** block.

The first sub-block in **SMA** block detects the value of the input state  $\mathbf{s}(n)$ . The energy-optimum values of the configurable parameters  $\alpha_k$ ,  $\beta_k$  ( $k = 1, 2, \dots, N$ ),  $B_w$ ,  $B_x$

and  $V_{dd}$  are then computed in other sub-blocks as a solution to the energy optimization problem (4.4) presented in section IV-C. In order to keep the reconfiguration strategy simple, we propose to solve the optimization problem in (4.4) in the following three steps:

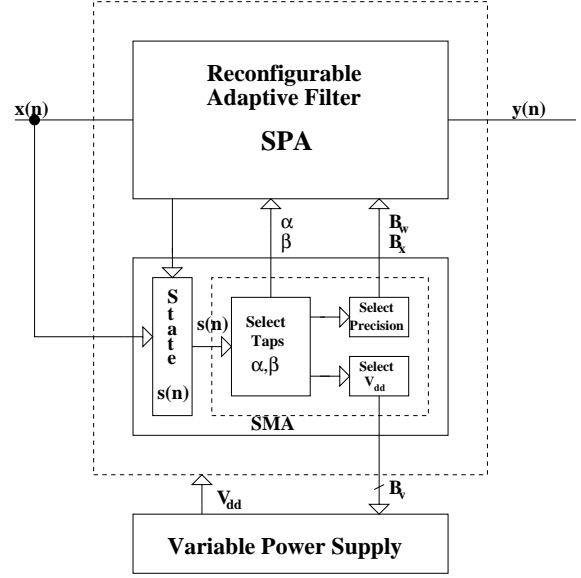


Fig. 6. A DAT-based reconfigurable adaptive signal processing system.

1. Determine the optimum values of control signals  $\alpha_{k,opt}$  and  $\beta_{k,opt}$  via the *Lagrange Multiplier Method* [37].
2. Determine the optimum precisions  $B_{w,opt}$  and  $B_{x,opt}$  via (4.10) and (4.15), respectively.
3. Determine the optimum supply voltage  $V_{dd,opt}$  from (2.11)-(2.12) and (3.7)-(3.8).

Note that it is possible to stop at any of the steps indicated above to obtain increasingly sub-optimal low-energy solutions. We now describe the above three steps in more detail.

#### D.1 Energy-Optimum Choice of $\alpha_k$ and $\beta_k$ (Step 1)

We define a dual optimization problem as follows,

$$\min_{\alpha_k \in \{0,1\}} \mathcal{L}(\alpha_1, \alpha_2, \dots, \alpha_N, \lambda^*), \quad (4.5)$$

where  $\lambda^*$  is a constant referred as *Lagrange Multiplier* [37] and

$$\begin{aligned} \mathcal{L}(\alpha_1, \alpha_2, \dots, \alpha_N, \lambda) = & \sum_{k=1}^N \alpha_k \mathcal{E}_m(w_k) \\ & + \lambda \left( \sigma_d^2 - \mathcal{J}_o - \sum_{k=1}^N \alpha_k |w_k|^2 \sigma_x^2 \right), \end{aligned} \quad (4.6)$$

where  $\lambda \geq 0$  is a constant multiplier,  $w_k$  is the  $k^{th}$  coefficient and  $\mathcal{E}_m(w_k)$  is the energy of a multiplier that has  $w_k$  at one of the inputs.

It can be shown [38] that the solution to (4.5) is given by:

$$\alpha_{k,opt} = \begin{cases} 1, & \frac{|w_k|^2}{\mathcal{E}_m(w_k)} \geq \tilde{\lambda}^* \\ 0, & \frac{|w_k|^2}{\mathcal{E}_m(w_k)} < \tilde{\lambda}^* \end{cases} \quad (4.7)$$

where  $\tilde{\lambda}^*$  is a constant. Equation (4.7) indicates that it is better to power down the taps with small values of the *energy-normalized metric*  $E_k$ , where  $E_k$  is defined as:

$$E_k = |w_k|^2 / \mathcal{E}_m(w_k). \quad (4.8)$$

Intuitively, small values of  $E_k$  imply that the  $k^{\text{th}}$  tap contributes less to the *SNR* (as  $w_k$  is small) but consumes more energy ( $\mathcal{E}_m(w_k)$  is large). In practice, we don't need to compute

the constant  $\tilde{\lambda}^*$  in (4.7), if we employ the reconfiguration strategy of powering down the taps starting with those with the smallest value of  $E_k$  until the *MSE* constraint is violated. It is worth mentioning that the solution to **Step 1** depends upon the multiplier architecture. The optimum value for  $\beta_k$  ( $k = 1, 2, \dots, N$ ) is chosen as 0 if either  $\alpha_{k,opt} = 0$  or the filter has converged.

If  $\mathcal{E}_m(w_k)$  is assumed to be independent of  $w_k$ , then the energy-optimum reconfiguration strategy would be to switch off taps with the smallest coefficients. Thus, the strategy proposed in [18] for low-pass filters falls out as a special case of (4.7). Note that the reconfiguration in (4.7) is different from the case where only the end taps are powered down [36]. Finally, our strategy has been derived by solving an optimization problem and hence is guaranteed to be energy-optimal under the *SNR* constraint. In section V, we will demonstrate the application of this strategy to a near-end crosstalk (NEXT) canceller in a 155.52 Mb/s ATM-LAN transceiver.

## D.2 Energy-Optimum Choice of Precisions (Step 2)

Let the coefficients  $w_k$  in the **F**-block be represented by  $B_w$  bits. Assuming a linear stochastic model for the fixed point error in the coefficients  $w_k$ , the *MSE* for an  $N$ -tap fixed-point FIR filter  $\mathcal{J}_{fx}$  is given by [39],

$$\mathcal{J}_{fx} = \mathcal{J}_{fl} + \frac{N\sigma_x^2 2^{-2B_w}}{12}, \quad (4.9)$$

where second term in (4.9) is the coefficient quantization error due to the fixed-point implementation and  $\mathcal{J}_{fl}$  is the *MSE* for the floating-point algorithm.

From (4.9), we see that in order to maintain a fixed quantization error, the required coefficient precision decreases with the filter length. Therefore, coefficient precision can be reduced when the taps get powered down in the reconfigurable datapath. From (4.7) and (4.9), the optimum coefficient precision  $B_{w,opt}$  is obtained as follows:

$$B_{w,opt} = B_w + \frac{1}{2} \log_2 \left( \frac{1}{N} \sum_{k=1}^N \alpha_{k,opt} \right), \quad (4.10)$$

where  $B_w$  is the maximum precision required when all the taps are powered up. The reduction in precision (see (4.10)) with the number of taps is very small. In fact, one bit reduction in the precision is achieved for each four times reduction in filter length.

Similarly, the input precision  $B_{x,opt}$  can be determined from the expression for the signal-to-quantization noise ratio at the input (*SQNR*). Let  $x(n)$  be the input signal with

the maximum value  $x_{max}$  and mean squared value  $\sigma_x^2$ . Assuming that we employ  $B_x$  bits to quantize  $x(n)$  and that the quantization noise  $q_x(n)$  is a uniformly distributed signal over the interval  $[-\Delta/2, \Delta/2]$  where  $\Delta = -2x_{max}/2^B$ , we obtain the quantization noise power  $E[|q_x(n)|^2]$  as follows,

$$\begin{aligned} E[|q_x(n)|^2] &= \frac{1}{\Delta} \int_{-\Delta/2}^{\Delta/2} |q_x|^2 dq_x \\ &= \frac{\Delta^2}{12} = \frac{x_{max}^2}{3 \times 2^{2B}}. \end{aligned} \quad (4.11)$$

From (4.11), the *SQNR*(dB) can be obtained as,

$$\begin{aligned} SQNR(dB) &= 10 \log_{10} \left[ \frac{\sigma_x^2}{E[|q_x(n)|^2]} \right] \\ &= 10 \log_{10} \left[ 3 \times 2^{2B} \frac{\sigma_x^2}{x_{max}^2} \right], \end{aligned} \quad (4.12)$$

which can be further simplified to obtain,

$$SQNR(dB) = 6B_x + 4.8 - PAR(dB), \quad (4.13)$$

where *PAR*(dB) is the peak-to-average ratio at the input, and is defined as,

$$PAR(dB) = 20 \log_{10} \left[ \frac{x_{max}}{\sigma_x} \right]. \quad (4.14)$$

For an equalizer, we can assume that an automatic gain control (AGC) block normalizes the input signal so that the input signal range  $[-x_{max}, x_{max}]$  matches that of the analog-to-digital converter (ADC). The root mean-squared (RMS) value  $\sigma_x$  can then be computed by taking the square-root of the time-average of the squared input signal. The optimum precision can be computed from (4.13) as follows:

$$B_{x,opt} = B_x + \frac{PAR(dB) - PAR_{max}(dB)}{6}, \quad (4.15)$$

where  $PAR_{max}$  is the worst-case *PAR* and  $B_x$  is the maximum input precision. We keep the data precision fixed in the NEXT-canceller for 155.52 Mb/s ATM-LAN as it was found that these do not change.

## D.3 Energy-Optimum Choice of Supply Voltage $V_{dd}$ (Step 3)

In reconfigurable systems where variable supply voltage generators are available (such as the feedback loop [31]-[32]), an energy-optimum value of  $V_{dd}$  (if computed) can be employed to provide a coarse initial estimate to the tracking loop. In this subsection, we demonstrate how the energy-optimum value for  $V_{dd}$  can be computed.

The critical path delay of an adaptive filter is obtained by substituting  $\alpha_k = \alpha_{k,opt}$ ,  $k = 1, 2, \dots, N$  in (3.7). Next, we obtain the normalized processing rate  $r$  by substituting (3.7) in (2.11) as follows:

$$r = \frac{T_m + NT_{mux} + B_{ADD}T_{carry} + \sum_{k=1}^N \alpha_{k,opt}T_{sum}}{T_s}, \quad (4.16)$$

where  $T_s$  is the sample period. The optimum supply voltage  $V_{dd}$  can now be obtained by substituting  $r$  into (2.12).

Thus, we have presented a practical reconfiguration strategy to determine the configuration parameters  $\alpha_{k,opt}$ ,  $\beta_{k,opt}$ ,  $B_{x,opt}$ ,  $B_{w,opt}$  and  $V_{dd,opt}$  for a DAT-based adaptive filter as shown in Fig. 6. In the next subsection, we compute energy savings due to a DAT-based system over a traditional worst-case design.

### E. Energy Savings via DAT

The average energy dissipation of the **SPA** block  $\mathcal{E}_{SPA,ave}$  is given by:

$$\mathcal{E}_{SPA,ave} = \sum_{j=1}^{N_s} \mathcal{E}_{SPA}(\mathbf{c}_{opt}(\mathbf{s}_j))p(\mathbf{s}_j), \quad (4.17)$$

where  $\mathbf{c}_{opt}(\mathbf{s}_j)$  is the energy-optimum configuration corresponding to state  $\mathbf{s}_j$  obtained as a solution to (4.3).

The **SMA** block is activated after  $L$  samples and that too only if there is a transition in the state. For  $L$  sufficiently large, the energy dissipation of the **SMA** block ( $\mathcal{E}_{SMA,ave}$ ) will be negligible. The total average energy dissipation per sample of a DAT-based system is obtained as,

$$\mathcal{E}_{DAT,ave} = \mathcal{E}_{SPA,ave} + \mathcal{E}_{SMA,ave}, \quad (4.18)$$

where, in general,  $\mathcal{E}_{SMA,ave}$  will be negligible as compared to  $\mathcal{E}_{SPA,ave}$ . The average energy savings ( $\mathcal{E}_{sav}$ ) due to a DAT-based system is given as,

$$\mathcal{E}_{sav} = \frac{\mathcal{E}_{WC} - \mathcal{E}_{DAT,ave}}{\mathcal{E}_{WC}} \times 100\%, \quad (4.19)$$

where  $\mathcal{E}_{WC}$  is the the energy dissipation of the worst-case design. In fact,  $\mathcal{E}_{WC}$  equals the maximum value that  $\mathcal{E}_{SPA}(\mathbf{c}_{opt}(\mathbf{s}_j))$  in (4.17) can assume over all possible states  $\mathbf{s}_j$ .

### F. Example: System Identification

In this subsection, we apply DAT to a system identification example in order to demonstrate the concepts presented so far. The problem is to estimate the impulse response of an unknown system via an adaptive filter as shown in Fig. 7(a). The unknown system can represent an echo path in a voice-band modem or a crosstalk path in high-speed data modem, where the adaptive filters are usually employed to identify the unknown echo/crosstalk signal and then cancel it. As the number of taps required by the adaptive filter varies with the unknown system, hence DAT-based approaches can achieve significant energy savings over the traditional designs based upon worst-case assumptions.

We assume that the unknown system can be in five distinct states (i.e.  $N_s = 5$ ) with impulse responses for each of the states as shown in Fig. 7(b). Such impulse responses can be encountered in a wireless channel with multipath fading. We assume that probability of occurrence of these states is given by:  $p(\mathbf{s}_1) = 0.1$ ,  $p(\mathbf{s}_2) = 0.2$ ,  $p(\mathbf{s}_3) = 0.4$ ,

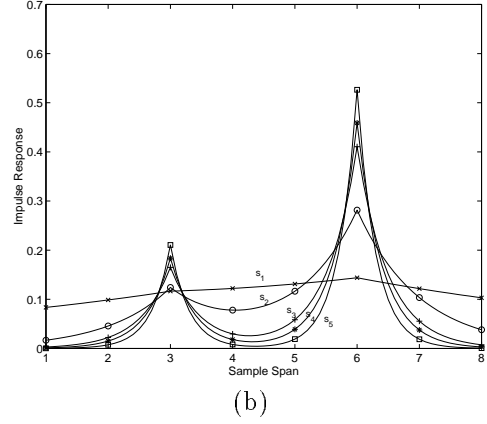
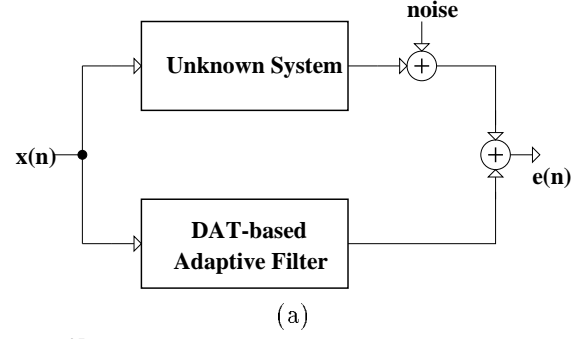


Fig. 7. System identification: (a) block diagram and (b) impulse responses of the unknown systems.

$p(\mathbf{s}_4) = 0.2$ , and  $p(\mathbf{s}_5) = 0.1$ . The input signal  $x(n)$  is uncorrelated with variance  $\sigma_x^2 = 1$ , and we assume a noise of variance  $\sigma_n^2 = 0.0001$  (i.e.  $SNR = 40dB$ ) at the output of the unknown system. As the signal  $e(n)$  in Fig. 7(a) represents the residual echo/crosstalk, we are therefore interested in minimizing  $\mathcal{J}(n) = E[e^2(n)]$ . Hence, we set the desired  $MSE$ ,  $\mathcal{J}_o = 0.01$ .

For the DAT-based adaptive filter, assume an input precision of  $B_x = 8$  bits, coefficient precision of  $B_{w,max} = 8$  bits, maximum number of taps equal to  $N = 8$  taps, and maximum supply voltage of  $V_{dd,max} = 5V$ . Also, assume the computational delays of the hardware blocks to be:  $T_m = 4ns$ ,  $T_{mux} = 0.1ns$ ,  $T_{sum} = 0.7ns$ ,  $T_{carry} = 0.6ns$ , and a sampling rate of  $50MHz$ . Further, assume that the hardware platform permits the powering-up of taps via control signals  $\alpha_k$  ( $k = 1, 2, \dots, 8$ ), reconfiguring the coefficient precision  $B_w$ , and supply voltage  $V_{dd}$ . Table I shows the optimum configurations achieved by employing the strategy presented in section IV(D). When the input state varies from  $\mathbf{s}_1$  to  $\mathbf{s}_5$ , the number of powered-up taps decreases from 8 to 2, the coefficient precision varies from 8 to 7 bits, and the supply voltage  $V_{dd}$  varies from 5V to 4.2V. Also note that  $\alpha_{opt}$  for state  $\mathbf{s}_4$  and  $\mathbf{s}_5$  in Table I, is given by  $[0, 0, 1, 0, 1, 1, 1, 0]$  and  $[0, 0, 1, 0, 0, 1, 0, 0]$ , respectively, where a 0 indicates that a tap is powered down and a 1 indicates that a tap is powered up. Thus, for states  $\mathbf{s}_4$  and  $\mathbf{s}_5$ , the energy-optimum configuration requires powering down internal taps and hence could not have been obtained via existing approaches [18], [36].

The energy dissipation of the **SPA** block in each of the

TABLE I  
RESULTS FOR SYSTEM IDENTIFICATION.

$\mathbf{s}_i$	$p(\mathbf{s}_i)$	$\alpha_{opt}$	$B_{w,opt}$	$V_{dd,opt}$	$\mathcal{E}_{sav}$
$\mathbf{s}_1$	0.1	[1,1,1,1,1,1,1,1]	8 bits	5.0V	0%
$\mathbf{s}_2$	0.2	[0,1,1,1,1,1,1,1]	8 bits	4.9V	16%
$\mathbf{s}_3$	0.4	[0,0,1,1,1,1,1,0]	8 bits	4.6V	44%
$\mathbf{s}_4$	0.2	[0,0,1,0,1,1,1,0]	8 bits	4.4V	53%
$\mathbf{s}_5$	0.1	[0,0,1,0,0,1,0,0]	7 bits	4.2V	74%

five states is also shown in Table I. The energy dissipation for the worst-case design  $\mathcal{E}_{WC}$  corresponds to state  $\mathbf{s}_1$ , which requires the maximum number of powered-up taps, the maximum precision and the maximum supply voltage  $V_{dd}$ . The energy savings, shown in Table I, are computed by employing (4.19). Energy savings range from 0% to 74% as the input state changes from  $\mathbf{s}_1$  to  $\mathbf{s}_5$ . The average energy savings are computed via (4.19) and are shown in Table I. An average of 39% energy savings are achieved for this example. As can be seen, the average energy savings due to DAT depend upon the relative energy dissipation and probability of occurrence for the states corresponding to the nominal-case and the worst-case. Large energy savings can be expected for situations where  $\mathcal{E}_{WC} \gg \mathcal{E}_{DAT,ave}$  and the state corresponding to the worst-case is not very likely. In the next section, we employ the DAT-based adaptive filter as a near-end crosstalk (NEXT) canceller for 155.52 Mb/s ATM-LAN.

## V. APPLICATION TO 155.52 MBITS/S ATM-LAN

In this section, we will study the performance of the proposed DAT-based system in a high-speed digital communication system. We will employ the DAT-based adaptive filter as a near-end crosstalk (NEXT) canceller for a data-rate of 155.52 Mb/s over unshielded twisted-pair (UTP) wiring [40].

Figure 8 shows a vendor's view of an asynchronous transfer mode (ATM)-based local area network (LAN). The environment of interest for the UTP category three (UTP-3) user network interface (UNI) consists of the "I1" and "I2" interfaces (see Fig. 8). The wiring distribution system runs either from the closet to the desktop or between hubs in the closets. The wiring employed consists mostly of either TIA/EIA-568 UTP-3 4-pair cable or the DIW 10 Base-T 25-pair bundle. The propagation loss for these channels increases rapidly with an increase in the frequency of operation. Therefore, bandwidth efficient transmission schemes become necessary to support such high data rates over these channels. The carrierless amplitude phase (CAP) transmission scheme is such a scheme and is the standard [41] for 155.52 Mb/s ATM-LAN over UTP-3 wiring.

In the LAN environment, the two major causes of performance degradation for transceivers operating over UTP wiring are *propagation loss* and *crosstalk* generated between adjacent wire pairs. The propagation loss that is

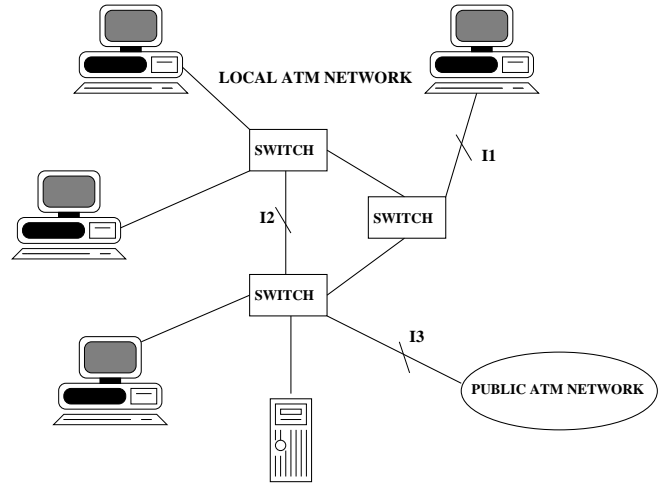


Fig. 8. ATM-LAN environment.

assumed in system design is the worst-case loss given in the TIA/EIA-568 draft standard for category 3 cable [42]. This loss can be approximated by the following expression:

$$L_P(f) = 2.320\sqrt{f} + 0.238f, \quad (5.1)$$

where the propagation loss  $L_P(f)$  is expressed in dB per 100 meters and the frequency  $f$  is expressed in MHz. The worst-case NEXT loss model for a single interferer is also given in the TIA/EIA draft standard [42]. The squared magnitude of the NEXT transfer function corresponding to this loss can be expressed as:

$$L_N(f) = 43 - 15 \log f, \quad (5.2)$$

where the frequency  $f$  is in MHz, and  $L_N(f)$  is expressed in dB. In section V-A, we briefly describe the CAP transceiver for 155.52 Mb/s ATM-LAN. The interested reader is referred to [40] for more details. The simulation set-up is described in section V-B while the simulation results are presented in section V-C.

### A. 155.52 Mb/s ATM-LAN Transceiver

The block diagram of a digital CAP transceiver is shown in Fig. 9. The bit stream to be transmitted is first passed through a scrambler. The scrambled bits are then fed into an encoder, which maps blocks of  $m$  bits onto one of  $k = 2^m$  different complex symbols  $a(n) = a_r(n) + ja_i(n)$  for a  $k$ -CAP line code. In this study, we have employed  $k = 64$  because this value is necessary to limit the transmit spectrum to 30 MHz; a limit set by the Federal Communication Commission (FCC). The symbols  $a_r(n)$  and  $a_i(n)$  are processed by digital shaping filters. This requires that the shaping filters be operated at a sampling frequency  $f_s$ , which is at least twice the maximum frequency component of the transmit spectrum. The outputs of the filters are subtracted and the result is passed through a digital-to-analog (D/A) converter, which is followed by an interpolating low-pass filter (LPF). It can be seen that most of the signal processing at the transmitter (including transmit shaping) is done in the digital domain, which permits a robust VLSI implementation.

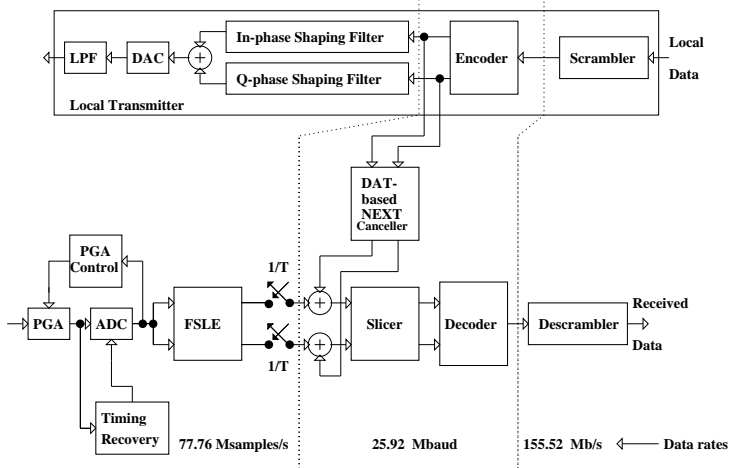


Fig. 9. A 155.52Mb/s ATM-LAN transceiver.

In the receiver (see Fig. 9), the analog signal is first amplified by a programmable gain amplifier (PGA) whose gain is controlled by a digital PGA control block. The output of PGA is passed to an analog-to-digital (A/D) converter operating at 77.76 MHz, which converts the analog signal into a digital signal. The sampling instant of the A/D is controlled by a digital timing recovery block. The digital output of the A/D is processed by a fractionally-spaced linear equalizer (FSLE). In addition, the local transmitted symbols are passed through a complex adaptive NEXT-canceller, which tries to cancel the effect of the NEXT in the received signal. The algorithmic performance measure in this case is the  $SNR$  at the slicer ( $SNR_{sl}$ ), which is equal to the ratio of signal constellation power (which equals 42 for 64-CAP) to the  $MSE$  across the slicer. Hence, we have the following relation:

$$SNR_{sl}(dB) = 10\log_{10}(42) - \mathcal{J}_{sl}(dB) \quad (5.3)$$

Henceforth, we employ  $SNR_{sl}$  as the algorithmic/system performance measure. For 64-CAP, a specification of  $SNR_{sl} = 29.45dB$  is sufficient to obtain a probability of error less than  $10^{-10}$ .

The complexity requirements for the NEXT-canceller increase as the cable length increases. Traditionally, the NEXT-canceller is designed for the worst-case scenario i.e., the longest cable length. However, for shorter cable lengths, the complexity of the NEXT-canceller can be reduced and thus substantial energy savings can be achieved. In this experiment, we employ a DAT-based NEXT-canceller to enable the energy savings possible due to the different cable lengths.

### B. Simulation Setup

We assume a spatial variation in the length of the UTP-3 cable from 110m to 40m (see Fig. 8) with the lengths having a Gaussian distribution with a mean of 75m as indicated in Table II. Usually, an estimate of the probability distribution of the cable lengths can be obtained from surveys, which currently are not available. Thus, the state-space  $\mathcal{S}$

has eight states. In our simulations, we emulate the spatial variation of cable length by varying the cable length in time. This exercise also demonstrates the performance of the DAT-based NEXT-canceller in the presence of temporal variations in the cable length due to temperature changes.

The received signal power depends upon the attenuation of the channel and hence the cable length. Furthermore, the input  $SNR$  is also a function of the received signal power and this determines the performance of the receiver. We define the input states  $\mathbf{s}_i$  (corresponding to the eight cable lengths in Table II) as,

$$\mathbf{s}_i = [\sigma_{x_i}^2],$$

where  $\sigma_{x_i}^2$  is the received signal power for the  $i^{th}$  cable length. The state set  $\mathcal{S}$  for 155.52 Mb/s ATM-LAN can be found from (5.1) as follows,

$$\begin{aligned} \mathcal{S} &= [\mathbf{s}_1, \mathbf{s}_2, \mathbf{s}_3, \mathbf{s}_4, \mathbf{s}_5, \mathbf{s}_6, \mathbf{s}_7, \mathbf{s}_8] \\ &= [1.6dB, 1.9dB, 2.3dB, 2.8dB, 3.5dB, 4.4dB, \\ &\quad 5.5dB, 7.1dB]. \end{aligned}$$

The changes in the input state can be detected by monitoring  $SNR_{sl}$ . In particular, we compute  $\mathcal{J}_{sl}$  by averaging  $|e(n)|^2$  over 1024 symbols and substitute its value into (5.3) to obtain the value of  $SNR_{sl}$ .

As the NEXT-canceller is a complex adaptive filter, we employ the **SR** architecture proposed in [3]. The **SR** architecture enables 21% energy savings without any loss in  $SNR$ . However, the energy savings in this paper don't include those due to **SR** transformation. This is because the reference architecture for computing the energy savings is also based on the **SR** architecture. Assume that  $SNR_o = 31dB$  (this is 1.55dB more than the minimum of 29.45dB) is the desired performance level. Furthermore, if  $SNR_{sl} \notin [SNR_o, SNR_o + \delta]$ , then we assume that the input state has changed substantially so that a new **SPA** configuration for the NEXT-canceller needs to be computed. We choose  $\delta = 3dB$  to remove undesired glitches in steady state. This will guarantee that the  $SNR$  is always better than 29.45dB thus keeping the bit error rate below  $10^{-10}$ .

We will assume:  $T_m = 4ns$ ,  $T_{mux} = 0.1ns$ , and  $T_{sum} = 0.7ns$ ,  $T_{carry} = 0.6ns$ . The sample period is  $T_s = 38ns$ . It is assumed that  $V_{dd,max} = 2.5V$  and the **SMA** block always operates at 2.5V. The worst-case design corresponds to number of powered up taps  $N = 30$ , coefficient precision  $B_w = 12$  bits, data precision  $B_x = 4$  bits, **F**-block adder precision  $B_{ADD} = 16$  and supply voltage  $V_{dd,max} = 2.5V$ . The data precision  $B_x$  is kept constant at 4 bits because the input to the NEXT-canceller belongs to the 64-CAP signal set  $\{-7, -5, -3, -1, 1, 3, 5, 7\}$ , which can be represented with 4 bits.

For the energy consumption, it is assumed that the standard cells based on 0.18 $\mu m$ , 2.5V CMOS technology are being employed. The energy consumption models for the arithmetic blocks are obtained by *real-delay* simulations via the gate-level simulation tool MED [35] and employed to compute the energy savings due to DAT. However, the

**SMA** block employs the simple energy models (also supported by real-delay simulations) described in section III-A in order to compute the energy-optimum configuration as presented in section IV-D. Next, we present the simulation results for the DAT-based NEXT-canceller.

### C. Simulation Results

Consider the NEXT-canceller designed for the worst-case. The local transmitter (see Fig. 9) is switched on after 102400 symbols. This introduces the NEXT interferer into the receiver which the NEXT-canceller attempts to cancel. In Fig. 10(a), the convergence plot of  $SNR_{s_l}$  shows that the performance of the worst-case design varies from  $32dB$  to

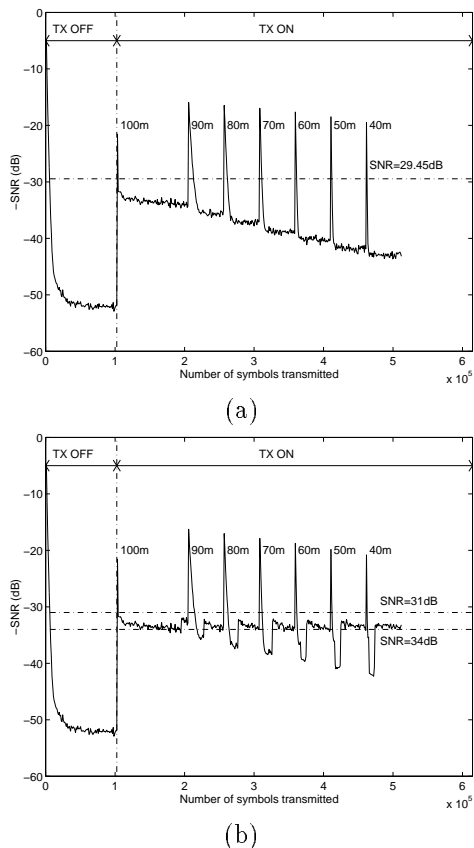


Fig. 10. Convergence curves for NEXT-canceller based on: (a) worst-case and (b) DAT-based designs.

$42dB$  as the length is varied from  $100m$  to  $40m$ . The value of  $SNR_{s_l} = 29.45dB$  ensures a probability of error less than  $10^{-10}$ . Hence, it is possible to trade off the excess performance for shorter cable lengths in order to achieve energy savings. We employ the DAT-based NEXT-canceller to enable these energy savings. Recall that for a DAT-based NEXT-canceller, an  $SNR$  window of  $31dB - 34dB$  was specified. This means that if  $SNR_{s_l}$  is less than  $31dB$ , then some of the taps are powered up to enhance the performance. Similarly, if  $SNR_{s_l}$  is greater than  $34dB$ , then some of the taps are powered down to achieve energy savings. Thus, DAT-based NEXT-canceller jointly optimizes energy dissipation and  $SNR_{s_l}$ .

In Fig. 10(b), the algorithmic performance measure

$SNR_{s_l}$  for a DAT-based NEXT-canceller is plotted. It can be seen that  $SNR_{s_l}$  for the DAT-based system always lies in the window  $31dB - 34dB$  during steady-state which guarantees adequate system performance. Whenever the channel length changes, there is a sudden decrease in  $SNR_{s_l}$  (see the peaks in Fig. 10(b)). In that case, all the taps are turned on and the adaptive filter coefficients converge to their optimum settings. After convergence, the **SMA** block monitors the  $SNR_{s_l}$  and determines the energy-optimum configuration for the NEXT-canceller according to the strategy described in section IV-D.

The final configuration for each state (shown in Table II) indicates that the number of powered up taps range from 4 to 30 for cable length variations from  $40m$  to  $110m$ . Similarly, the coefficient precision vary from 11 to 12 bits. As

TABLE II  
RESULTS FOR DAT-BASED NEXT-CANCELLER.

$s_i$	Cable length (m)	$p(s_i)$	$\sum \alpha_{k,opt}$ (taps)	$B_{w,opt}$ (bits)	$V_{dd,opt}$ (V)	$\mathcal{E}_{sav}$ (%)
$s_1$	110	0.05	30	12	2.5	-2
$s_2$	100	0.10	22	12	2.4	32
$s_3$	90	0.15	12	12	2.2	67
$s_4$	80	0.20	8	12	2.1	78
$s_5$	70	0.20	7	11	2.1	80
$s_6$	60	0.15	6	11	2.1	82
$s_7$	50	0.10	5	11	2.1	87
$s_8$	40	0.05	4	11	2.0	89

there is a four times reduction in the number of powered-up taps in going from state  $s_1$  to state  $s_8$ , we obtain a reduction in coefficient precision by one bit. Also, the supply voltage  $V_{dd}$  varies from  $2.0V$  to  $2.5V$  for cable lengths ranging from  $40m$  to  $110m$ . In Fig. 11, we plot energy savings (see (4.19)) for a DAT-based NEXT-canceller employing array multipliers when the cable length varies from  $110m$  to  $40m$ . The energy savings include the energy consumption in the **SMA** block. For the variable supply voltage  $V_{dd}$  case, the energy savings range from -2% to 89% for cable length variations from  $100m$  to  $40m$ , respectively. Negative energy savings are due to the reconfiguration overhead that the traditional worst-case designs do not have. The energy savings range from -2% to 81% when the supply voltage  $V_{dd}$  is fixed and cable length is varied from  $110m$  to  $40m$ . It was found that for the state probability distribution  $p(s_i)$  given in Table II, the average energy savings with the fixed supply voltage are 62%. Similarly, for the variable supply voltage case, the average energy savings were found to be 69%. Thus, for this application, only 7% additional energy savings over the fixed supply voltage case are achieved due to the variable supply voltage scheme. This is because the critical path delay varies only marginally with reduction

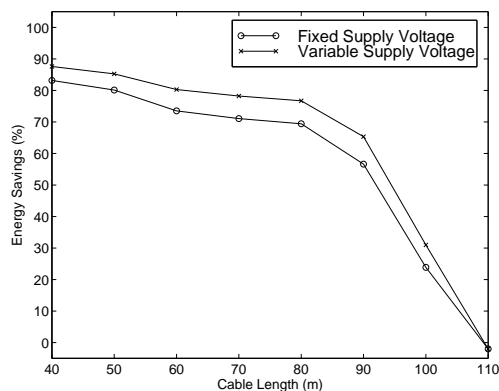


Fig. 11. Energy savings for the DAT-based NEXT-canceller.

in number of powered up taps. Larger energy savings can be expected in the architectures, where the critical path is a strong function of the powered up taps. Thus, it can be seen that the DAT-based approach is quite attractive from the viewpoint of energy savings for the 155.52 Mb/s ATM-LAN application.

## VI. CONCLUSIONS AND FUTURE WORK

We have proposed dynamic algorithm transformations (DAT) as a formal approach to the design of low power, reconfigurable DSP systems and have demonstrated its use in the design of a NEXT-canceller for a 155.52 Mb/s ATM-LAN. The main contribution of the DAT-based approach is a systematic determination of energy-optimal reconfiguration strategies for any platform or application. Application of DAT techniques require a proper understanding of the system requirements and the constraints imposed by the reconfigurable hardware fabric. Thus, the DAT approach embodies the growing trend of jointly addressing system and circuit design issues in order to obtain increasingly superior solutions.

Dynamic algorithm transformations have a broad range of applicability other than the ones presented in this paper. For example, DAT techniques can be applied for developing low-energy software for programmable DSPs, determining energy-optimal reconfiguration strategies for FPGAs, design of low-power wireless transceivers, lattice-based adaptive equalizers, forward error-correction (FEC) codecs and computer-aided design (CAD) tools that enable the design of complex DAT-based DSP and communication systems.

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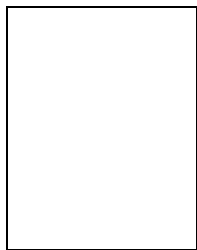
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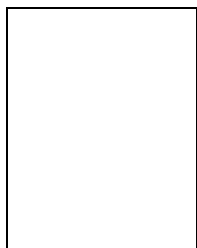
and implementation for high-speed data communications applications. In particular, he was the lead chip architect for AT&T’s 51.84 Mb/s transceiver chips over twisted-pair wiring for Asynchronous Transfer Mode (ATM)-LAN and broadband access chip-sets. In August 1995, he joined the Coordinated Science Laboratory and the Electrical and Computer Engineering Department at the University of Illinois at Urbana-Champaign as an assistant professor. His research interests are in exploring the limits of computation in an integrated circuit media in terms of power dissipation, reliability and throughput, VLSI architectures and algorithms for signal processing and communications and computer aided-design tools for system exploration. This includes the design of high-speed and/or low-power algorithms for speech and video processing, adaptive filtering and high-bit rate digital communications systems.

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